



COPY OF PAPERS
ORIGINALLY FILED

Attorney Docket No. 087102 0272537

MPA
#6

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	HUANG, et al.)	Certificate of Mailing Under 37 CFR §1.8
Serial No.:	10/041,859)	
Filing Date:	January 7, 2002)	I hereby certify that on the date indicated below, this
)	paper and the attached papers are being delivered via
)	U.S. First Class Mail, postage pre-paid addressed to:
For:	INHIBITORS OF APOPTOSIS)	
	PROTEINS AND NUCLEIC)	Assistant Commissioner for Patents
	ACIDS AND METHODS FOR)	Washington, DC 20231, on this date
	MAKING AND USING THEM)	<i>Karyn F. Massie</i>
)	Karyn F. Massie
)	<u>7/23/02</u>
)	Date

TRANSMITTAL LETTER

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing are the following:

1. Filing Completion Under Rule 53(f);
2. Declaration of Qihong Huang;
3. Declaration of Inventor John C. Reed;
4. Declaration of Inventor Bruce D. Hammock;
5. Declaration of Quinn L. Deveraux;
6. Declaration of Heir to Inventor Susumu Maeda;
7. Substitute Drawings in compliance with 37 CFR 1.84(g);
8. Copy of Notice of Missing Parts;
9. Filing Fee of \$785.00 (check enclosed herewith); and
10. Return Postcard.

The Commissioner is hereby authorized to charge any fee, including any submitted herewith, if the attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire

07/31/2002 JADD01 00000032 10041859

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pendency to or to credit any overpayment to Deposit Account 03-3975.

Respectfully submitted,

PILLSBURY WINTHROP LLP

Date: July *23*, 2002

By *Robert M. Bedgood*
Robert M. Bedgood, Ph.D., Reg. No. 43,488

11682 El Camino Real, Suite 200
San Diego, CA 92130-2593
Facsimile: (858) 509-4010
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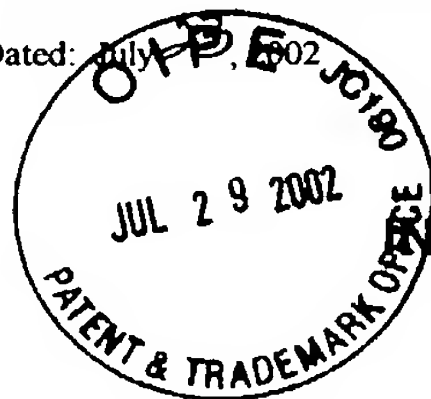
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PATENT TRADEMARK OFFICE

(The following information was obtained from the records of the Federal Bureau of Investigation, Department of Justice.)

Dated: July 19, 2002

By Karyn F. Massie
Karyn F. Massie



FILING COMPLETION UNDER RULE 53(f)

For Design, Provisional, or Utility Applications

PATENT
APPLICATION

**COMPLETION Under
Rule 53(f)**

Inventor(s): HUANG, et al

Appln. No.:	10	041,859	Atty.Dkt.	P	087102/0272537	
	Series Code ↑	Serial No. ↑			M#	Client Ref

Filed: January 7, 2002
Title: INHIBITORS OF APOPTOSIS PROTEINS AND NUCLEIC ACIDS AND METHODS FOR MAKING AND USING THEM

Date: July 23, 2002

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☒ Original ☐ Facsimile/Copy

"X" box 2A only if top box of the Declaration is X'd and file application copy, or
"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.

3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
- a. ☐ Abstract
- b. pages of Specification(only spec. & claims)
- c. ☐ Drawing(s) _____
No of Sheets
- ☐ Fig(s).

4. ☐ Letter filing formal drawing attached.
5. ☐ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/260,478	January 8, 2001	(2)	
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in _____

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	

9. _____ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / filed on _____

10. Small Entity Status ☐ is Not claimed ☒ is claimed (file PAT-256 if this is the first claim of Small Entity Status)

11. ☒ Attached: Substitute Drawings in compliance with 37 CFR 1.84(g); Check in the amount of \$785.00; and return postcard.

12. ☐ Please see the attached Preliminary Amendment which reduces the number of claims for purposes of reducing the initial filing fee.

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY CHANGED BY PRELIMINARY AMENDMENT PER ITEM 12 ABOVE

			Large/Small Entity		Fee Code
13. Basic Filing Fee	Design Application		\$330/\$165		106/26
	Not Design Application		\$740/\$370		101/201
14. Total Effective Claims		minus 20 =	x \$18/\$9	+0	103/203
15. Independent Claims		minus 3 =	x \$84/\$42	+0	102/202
16. If <u>any</u> proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)			\$280/\$140	+0	104/204
17. Surcharge for filing Declaration/filing fee late			\$130/\$65	+65	105/205
18.			FILING FEE =	\$65	
19. Original due date:	April 8, 2002				
20. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo)	\$110/\$55 =	+720		115/215
	(2mos)	\$400/\$200 =			116/216
	(3mos)	\$920/\$460 =			117/217
	(4mos)	\$1,440/\$720 =			118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee		\$130	+0		139
22. If "assignment" box 5 is X'd, add recording fee.		\$40	+0		581
23. Petition Fee for		\$130	+0		
24.			TOTAL FEE =	\$785	

FEE ENCLOSED

Our Deposit Account No. 03-3975

Our Order No. 087102 /027 2537

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. **This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.**

Pillsbury Winthrop LLP
Intellectual Property Group

11682 El Camino Real, Suite 200
San Diego, CA 92130-2593
Tel: (619) 234-5000

By Atty: Robert M. Bedgood, Ph.D.

Reg. No. 43,488

Sig: *Robert M. Bedgood*

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Atty/Sec: RMB/kfm

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments



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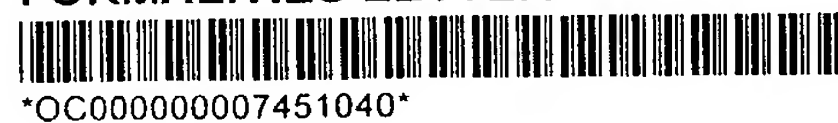
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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/041,859	01/07/2002	Qihong Huang	087102/027 2537

CONFIRMATION NO. 5405

FORMALITIES LETTER



OC000000007451040

Pillsbury Winthrop LLP
Suite 1800
101 W. Broadway
San Diego, CA 92101

Date Mailed: 02/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm (3/8 inch),
 - more than one figure is present and each figure is not labeled "Fig " with a consecutive Arabic numeral (1, 2, etc) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1)),

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A copy of this notice MUST be returned with the reply.

Y. G.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY